

IN THE UNITED STATES

☐ RECEIVING OFFICE (RO/US)  
☒ DESIGNATED OFFICE (DO/US)  
☒ ELECTED OFFICE (EO/US)

INTERNATIONAL APPLICATION NO. PCT/US99/08294	INTERNATIONAL FILING DATE 15 APRIL 1999 (15.04.99)	PRIORITY DATE CLAIMED 15 APRIL 1998 (15.04.98)
TITLE OF INVENTION SPECIFIC REPRESSION OF ADENOVIRUS PACKAGING		
APPLICANT(S) THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK		

Box PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: PCT Legal Affairs

**VERIFIED CERTIFICATION OF EXPRESS MAILING DATE  
(INTERNATIONAL APPLICATION (37 CFR 1.10(c)))**

I declare that on March 9, 2000 deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number EJ604724920US addressed to the "Assistant Commissioner for Patents, BOX PCT, Washington, D.C. 20231" and having an express mail certification which I executed, the following papers:

- 1.- Petition Under 37 C.F.R. 1.137(b) to Revive Unintentionally Abandoned Application with check in the amount of \$1,210;
- 2.- Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 with check in the amount of \$1,310.00;
- 3.- Copy of the first page of published International Application No. WO 99/53085;
- 4.- Copy of Response to Invitation to Furnish Nucleotide and/or Amino Acid Sequence Listing Complying with WIPO Standard ST.25;
- 5.- Copy of the International Search Report;
- 6.- Executed Combined Declaration and Power of Attorney; and
- 7.- Return Postcard.

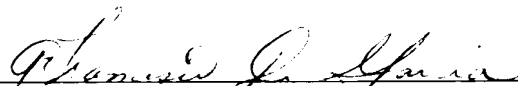
A copy of these papers from the file of this application is attached.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Francisco J. Garcia

(Typed or printed name of person making this verified statement)

Date: March 9, 2000

  
(Signature of person making this verified statement)

FORM PTO 1190 (REV. 10-96)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 3927-4133US2	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/530935</b>	
INTERNATIONAL APPLICATION NO. PCT/US99/08294		INTERNATIONAL FILING DATE 15 April 1999 (15.04.99)		PRIORITY DATE CLAIMED 15 April 1998 (15.04.98)	
TITLE OF INVENTION SELECTIVE REGULATION OF ADENOVIRUS PRODUCTION					
APPLICANT(S) FOR DO/EO/US Patrick HEARING; Susanne I. SCHMID; Philomena H. OSTAPCHUK and Ece ERTURK					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>					
Items 11. to 16. below concern document(s) or information included:					
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information:             <ul style="list-style-type: none"> <li>- Copy of the first page of published International Application No. WO 99/53085</li> <li>- Copy of a Response to Invitation to Furnish Nucleotide and/or Amino Acid Sequence Listing Complying with WIPO Standard ST.25</li> <li>- Copy of the International Search Report</li> </ul> </li> </ol>					

09/530935

INTERNATIONAL APPLICATION NO.  
PCT/US99/08294ATTORNEY WORK PRODUCT NUMBER  
3927-4133US217 ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO \$840.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482)  
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS** PTO USE ONLY

840.00

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e))

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	23 - 20 =	3	X\$18.00
Independent claims	5 - 3 =	2	X\$78.00

\$ 54.00

\$ 156.00

\$ 260.00

\$ 1,310.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

+\$260.00

**TOTAL OF ABOVE CALCULATIONS =**Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$

**SUBTOTAL =**

\$

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$ 1,310.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

**TOTAL FEES ENCLOSED =**

\$ 1,310.00

Amount to be:  
refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 1,310.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 13-4500. A duplicate copy of this sheet is enclosed.

Order No. 3927-4133US2

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO

Dorothy R. Auth  
Morgan & Finnegan, L.L.P.  
345 Park Avenue  
New York, New York 10154  
Telephone NO. (212) 758-4800  
Facsimile No. (212) 751-6849

SIGNATURE

Dorothy R. Auth

NAME

36,434

REGISTRATION NUMBER